Ordinance

For

Doctorate in Philosophy

(Ph.D.) in Law

(w.e.f. Academic Session 2024-25)



DR. B.R. AMBEDKAR NATIONAL LAW UNIVERSITY, SONEPAT, HARYANA

1. INTRODUCTION

- a) Dr. B.R. Ambedkar National Law University, Sonepat, hereinafter, is referred to as DBRANLU.
- **b)** This Ordinance shall be called 'The Ordinance for Doctor of Philosophy (Ph.D.) in Law, 2024.
- c) The doctoral research degree Programme shall be called 'Ph.D. in Law'.
- **d)** This Ordinance is in conformity with the *University Grants Commission* (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulations, 2022, Notified on 7th November 2022.

2. MODE OF DOCTORAL RESEARCH DEGREE PROGRAMME

- a) The University shall offer a Ph.D. Degree Programme in Law, in full-time mode as well as in part-time mode.
- b) There shall be two categories of Research Scholars, i.e., Full time, and Part-time.

Full-Time Mode of Ph.D. Programme:

- i. The Full-time Research Scholars, may or may not receive fellowship. Research Scholars getting any type of fellowship from any Agency, will have to remain present in the University during the entire period of fellowship, throughout his/her research work. Hostel facility will be provided to the Research Scholars subject to the availability of accommodation and as per the University Rules in this regard.
- ii. No candidate shall, without the permission of the Supervisor(s), the Doctoral Research Committee, and the Board of Research Studies, shall enroll in any other course of study, which is not stipulated as an essential requirement for the Ph.D. programme in Law, by the university.

Part-Time Mode of Ph.D. Programme:

- i. Part-time scholars will be full-time working professionals with a minimum of ten (10) years of experience in teaching, research, government (Both Central &State), PSUs, Industry and advocacy, etc.
- ii. For certifying the active service, the Part-time candidates shall submit a "Certificate in Active Service" from the concerned office/ Institution/Authority, of the Part-Time candidate alongwith the Application Form and/or at any later stage during the duration of the Ph.D. programme, as and when required by the Department.

- iii. A Part Time Ph.D. candidate shall also submit a 'No Objection Certificate' at the time of admission. 'No Objection Certificate' shall be issued by the Head of the Department/Institution or any other Competent Authority of the serving organization, where the Part Time, candidate is employed and it must clearly state that:
 - i. The candidate is permitted to pursue studies on a part-time basis.
 - ii. His/her official duties permit him/her to devote sufficient time for research work.
 - iii. If required, he/she will be relieved from the duty to complete the course work.
- c) The admitted candidate shall submit his/her enrolment form prescribed by the University for this purpose along with the payment of the prescribed fee. The candidate shall submit the following documents along with his/her enrolment:
 - i. An attested photocopy of the LL.M/ M.Phil. degrees, whichever is applicable, along with the mark sheets;
 - ii. An attested photocopy of the LL.B/ BA.LL.B/5year integrated course degrees, whichever is applicable, along with the mark sheets;
 - iii. Migration certificate from the previous institution, wherever applicable
 - iv. Duly certified "Certificate in Active Service" issued by the Head of the Department/Institution or any other Competent Authority of the serving organization, where the Part Time, candidate is employed, wherever applicable;
 - v. A candidate who is in service shall submit a No Objection Certificate from the appropriate authority in the organization where the candidate is employed, clearly stating that:
 - **i.** The candidate is permitted to pursue studies on a part-time basis.
 - **ii.** His/her official duties permit him/her to devote sufficient time for research work.
 - **iii.** If required, he/she will be relieved from the duty to complete the coursework.

- vi. An attested copy of the Membership Certificate issued by the Professional Body/Institution, wherever applicable;
- vii. Any other document, which is required by the University, for the registration/enrolment in the Ph.D. programme.
- d) The admitted candidates shall be enrolled after the verification of all the eligibility/documents, regarding Eligibility for Admission in the Ph.D. programme in Law and also subject to the fulfillment of other condition/s mentioned under this Ordinance and/or as per the Rules/Regulation/Policy of the University. Further, In case the candidate admitted for the Ph.D. programme fails to submit the above-said documents within three months from the date of his/her provisional enrolment/admission, his/her enrolment/admission shall be canceled. However, if the candidate submits necessary documents within 15 days from the date of cancellation along with (i) payment of re-enrolment fee, and (ii) permission of the Vice-Chancellor, he/she may get himself/herself re-enrolled.
- e) The full-time Research Scholar receiving the fellowship shall have to remain on campus throughout their research work during their fellowship period. The attendance of the full-time Research Scholars receiving fellowship shall be maintained by the o/o Head of the Department. Such scholars may be assigned participation in academic work, like, assisting teaching, conducting tutorials, assignments, evaluations work, etc. This work should not be more than six hours a week.
- f) No full-time candidate shall undertake any employment during the period of her/his research period without the prior permission of the Supervisor(s) and the Doctoral Research Committee.
- **g)** A full-time Research Scholar is allowed to be converted to part-time Ph.D. mode by the Doctoral Research Committee after completion of coursework, in case the Research Scholar is selected for a regular post, on the duly recommendations of his/her Research Supervisor.

3. ELIGIBILITY FOR ADMISSION

An applicant, who is seeking admission to the Ph.D. in Law, must have:

a) A Degree of Master of Laws from any recognized University by the UGC, with a minimum of 55% marks or an equivalent grade point average, for unreserved candidates; and 50% marks or an equivalent grade point average, for candidates

belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, & Economically Weaker Section (EWS) category and any other category of candidates as per the decision of the UGC from time to time in this regard.

Or

- **b)** M.Phil., Degree in Law with 55% of marks or B+ grade or its equivalent.
- c) In the case of foreign students, an equivalency Certificate is required to be produced by the candidate regarding satisfying the above two criteria, while applying for admission to the Ph.D. in Law.
- **d)** Candidates whose result of the qualifying exam, is awaited for any reason, may also be allowed to apply for admission to Ph.D. in Law. Such a candidate shall, however, have to produce the certificate of final result of the qualifying examination before/at the time, when he/she appears in the interview.

4. NUMBER OF SEATS FOR Ph.D. IN LAW

a) The number of seats vacant for the Ph.D. Programme in Law, shall be Notified by the University, well in advance through Prospectus, on the university's website, or through a public advertisement every year, subject to the availability of a Research Supervisor in the University.

5. RESERVATION OF SEATS AND CONVERSION POLICY

a) In regard to the Reservation of seats and conversion policy of reserved seats, the Haryana State Government Reservation Policy and University's Act/Rules/Regulations/Ordinances shall be applicable, as Amended and Notified in this regard, from time to time by the State Government/University.

6. ADMISSION PROCEDURE

- a) Admission shall be done on the basis of UGC/NET/JRF/Ph.D. Test conducted by the NTA. Admission Procedure for Candidates who applied through the UGC-NET/JRF Examination.
 - **i.** The candidates who have qualified for the UGC-NET/JRF Examination shall be eligible.
 - ii. The admission to the UGC-NET/JRF candidates shall be based on the performance in the interview/viva-voce to be organized by the Department, where the candidate shall be required to discuss his/her research interest/area through a presentation before the Doctoral Research Committee.

- iii. The Interview shall be of 30 marks. Out of 30 marks, 15 marks weightage shall be given to 'domain knowledge', and 15 marks weightage shall be given to 'Research Aptitude'.
- iv. The interview shall be conducted by the Doctoral Research Committee of the Department of Law of the University.
- v. The final merit list of the candidates with UGC-NET/JRF shall be made on the basis of marks obtained in the Interview.
- **b)** If two or more candidates secure identical marks in the merit, the preference will be given as under.
 - **i.** The higher percentage of marks secured in the qualifying examination (LL.M.) will be given preference.
 - **ii.** If the percentage of marks of the qualifying examination is also the same the candidate senior in age will be preferred.
- c) The selected candidates shall be eligible for provisional enrolment to the Ph.D. Programme in Law.
- d) Final Registration of candidates for Ph.D. in Law shall be based on the research proposal submitted by the candidate before the Doctoral Research Committee, after completion of the Pre-Ph.D. coursework. The research proposals shall be prepared by the candidate as per university norms to be issued, from time to time.

7. PRE-Ph.D. COURSE WORK

- a) Research Scholars (full-time, &part-time) shall be required to complete the Pre-Ph.D. coursework which will be for a minimum period of one semester and will be commenced as per schedule notified by the Head of the Department.
- b) The enrolled candidates shall be required to successfully complete the Pre-Ph.D. coursework within one year from the date of enrollment/admission of the Ph.D. Programme in Law. Successful completion of the Pre-Ph.D. coursework shall include attending lectures of the coursework and passing the coursework examinations as per the rules prescribed in this Ordinance in this regard.
- c) The Ph.D. coursework is compulsory for all research scholars, except scholars having an M.Phil. degree in law discipline, provided
 - (i) The admission to the M.Phil. degree, was taken through an entrance test.
 - (ii) The M.Phil. degree, was in regular mode, not in distance mode.

- (iii) The course-work was prescribed & done by the scholar during his/her M.Phil., degree &
- (iv) The dissertation of the M.Phil. degree, was evaluated by the External Examiner.

But, no such exemption from coursework shall be given to scholars having completed an M.Phil. degree, in any allied subject. Such a candidate is required to submit an equivalency certificate in this regard from the Dean, Academic Affairs /Dean of Research/Any other Competent Authority of the concerned University.

- d) The lectures on coursework may be conducted either through weekend classes or in hybrid mode (Offline/Online). However, the Coursework Examination will be in offline mode or as per university-notified norms, from time to time.
- e) To appear in the end-term examination of Pre-Ph.D. coursework, minimum 75% attendance in each paper of the coursework, is essential. No exemption in the minimum required 75% attendance, shall be given under any circumstance. However, in case of a medical emergency with the scholar, like, hospitalization, maternity, & similar other situations, on submission of valid proof, in this regard, the Vice-Chancellor may have the power to condone the maximum 15% shortage of attendance.
- f) The Research Scholar requires a minimum GPA of 7 (overall) and grade point of 6 in each paper/course to qualify the course work i.e. the scholar requires minimum of 55% marks overall and minimum of 50% marks in each course/paper (i.e. 50% independent in both components- Internal assessment and End-Term Examination) failing which he/she will have to re- appear/repeat in given Paper. The research scholar will be given the opportunity of re- appear/repeat in both components- Internal assessment and End-Term Examination.
- **g)** The Pre-Ph.D. coursework will consist of the following papers as per the prescribed syllabus, notified by the university.

Table-1 (Three papers having 4 credits (100 Marks) each):

			Paper	Evaluation		
Paper	Title of the Paper	No. of Credits	Code	Internal Assessment*	End- Term Exam.	Total Marks
I	Research Methodology	04	RP101	30	70	100

II	L	Contemporary Legal Issues in India	04	RP102	30	70	100
	a)	Research & Publication Ethics	02	RP103A	N/A	50	50
III	b)	Seminar in thrust area / Research Assignment / etc.	02	RP103B	50	N/A	50

Note* /**:

- i. Internal Assessment includes (i) written assignment (20 marks), & (ii) presentation (10 marks);
- **ii.** But, Paper III (a) shall be a theory paper in which theoretical/practical questions will be framed in the question paper;
- **iii.** And, evaluation under Paper III (b) shall be conducted through seminars/assignments / etc.;
- **iv.** The end-semester examination will be a theory paper in which theoretical/practical questions will be framed in the question paper.

Table-2

Marks may be converted into letter grades and grade points as follows:

Marks Grade	Letter Grade	Grade Point
85-100	O	10
75- 84	A+	9
65-74	A	8
55-64	B+	7
50-54	В	6
41-49	C	5
40	P	4
Less than 40	F	0

Note:

- **i.** In case marks scored are in decimal i.e.0.5 or above, it shall be rounded to the next whole number.
- **ii.** The candidate is required to secure minimum passing marks in both components i.e. Internal Assessment and End Term Examination, separately.

Table-3

Illustration to calculate the Grade Point Average (GPA)

Paper	Credit	Letter Grade	Grade Point	Credit Point
Paper I	4	A	8	$4 \times 8 = 32$
Paper II	4	A+	9	4×9= 36
Paper III	4	B+	7	$4 \times 7 = 28$
Total	12			96

GPA: 96/12 i.e. 8

- h) Paper setting, conduct of examination and evaluation of the theory papers of Paper-I, Paper-II and Paper III (a) will be carried out by the Controller of Examination. Internals of Paper I, II and III (b) shall be conducted at the departmental level and marks shall be sent to the Controller of Examination.
- i) The detailed marks sheet of coursework will be issued by the Controller of Examination.
- j) The scholar failing to obtain a minimum GPA of 7 (overall) and minimum GPA of 6 in each course in the course-work examination may be allowed to continue with provisional registration with the following conditions:
 - i. He/she will be given two more opportunities [(i) re-appear examination, & (ii) repeat examination] to appear and qualify for the course-work;
 - ii. Scholar shall be exempted from appearing in re-appear/repeat examination of either of the two components of any given course / subject, provided he/she has scored Grade Point 08 or above in the given course / subject;
 - iii. Re-appear examination will be conducted during the 5th-6th week from the date of declaration of the result of the course-work examination;
 - iv. Repeat examination will be conducted during the 4th-5th week from the date of declaration of the result of re-appear examination;

In case, the scholar fails to pass the coursework examination after two given attempts, his/her provisional admission/enrolment, shall be cancelled.

8. DURATION OF THE Ph.D. PROGRAMME

- a) Ph.D. Programme in Law, shall be for minimum duration of three (03) years, including course work, and maximum duration of six (6) years, from the date of admission/enrolment to the Ph.D. programme.
- b) A maximum of an additional two (2) years may be given through a process of reregistration to a Research Scholar, who has been de-register by the Competent Authority of the University on the grounds specified in this Ordinance, provided that the total period for completion of a Ph.D. programme shall not exceed eight (8) years from the date of admission/enrollment in the Ph.D. programme in Law. Provided further that, a female Ph.D. scholar and Persons with Disabilities (having more than 40% disability) may be allowed an additional relaxation of two (2) years;

- however, the total period for completion of a Ph.D. programme in these both cases, shall not exceed ten (10) years from the date of admission in the Ph.D. programme.
- c) A female Ph.D. Scholar, may be provided Maternity Leave/Child Care Leave for a maximum up to 240 days in the entire duration of the Ph.D. programme, subject to the production of a valid maternity certificate issued by a Registered Medical Practioner. In case of any discrepancy/ambiguity, the Certificate may be verified by the Medical Board duly constituted by the Civil Surgeon, Sonepat/University.
- d) In very exceptional circumstances, on the request of the scholar, after the due recommendation of the Doctoral Research Committee/ Post Graduate Board of Studies (PGBOS), the Vice-Chancellor deems, may grant an extension to the scholar for six months at a time for the submission of the Ph.D. thesis, subject to a maximum allowable limit (8 years or 10 years as the case may be). Thereafter, the registration of the candidate shall be deemed cancelled.
- e) For every extension period, the Research Scholar shall be required to pay the Extension fee as prescribed by the University.

9. REGISTRATION TO THE Ph.D. DEGREE PROGRAMME

- a) The provisional admission to the Ph.D. programme will be confirmed after the successful completion of coursework, by the Doctoral Research Committee &the Post Graduate Board of Studies.
- **b)** The candidate shall be called upon to make a presentation before the Doctoral Research Committee. The Doctoral Research Committee will review the research proposal and finalize the topic of research.
- c) The title/topic of the Research and the name of the Research Supervisor shall be recommended by the Doctoral Research Committee. The Research Scholar shall submit an outline of the scheme of research work/synopsis before the DRC:
 - i. An outline of the scheme of research work in about 3000 words mentioning the Proposed Title; Statement of the Problem; Hypothesis; Data-Base and Proposed Methodology; Research Questions; Significance, Objective and Importance;
 - ii. A chapter-wise proposed scheme of the research;
- **d)** After the recommendation of the Doctoral Research Committee, minutes of the meeting of DRC shall be presented before the Post-Graduate Board of Studies.
- e) The Post-graduate Board of Studies shall decide the case of registration as it deems fit and shall approve the topic of research and the name of the Research Supervisor(s) recommended by the Doctoral Research Committee.

- f) Consequent upon confirmation of his/her admission to the Board of Studies, and after approval of the topic for the thesis, the student shall submit the registration form along with the prescribed fee to the Registration Branch.
- **g)** The date of registration to the Ph.D. programme shall be treated from the approval of the Post Graduate Board of Studies (PGBOS).
- **h)** The approved research topics with the name of the research scholar and supervisor approved by the Post Graduate Board of Studies (PGBOS) shall be forwarded to the Academic Council for confirmation.
- i) No candidate shall be eligible to register for the programme/course if he/she is already registered for any full-time programme of study of this University or in any other University/Institution/Deemed to be University/College of National importance. The Board of Studies may cancel the registration of a student for breach of this provision/s.

10. DOCTORAL RESEARCH COMMITTEE

a) The Academic matters related to the Ph.D. Programme in Law, shall be supervised by the Doctoral Research Committee, consisting of the following:

i.	Vice-Chancellor or his Nominee	(Chairman)
ii.	Dean Academic Affairs	(Ex-Officio)
iii.	Head of the Department:	(Ex-Officio)
iv.	All Permanent Professors of the Department	(Ex-Officio)

- v. One Professor (Nominee of the Vice-Chancellor)
- vi. Permanent Associate Professors in the Department, subject to a maximum of two;
- vii. Permanent Assistant Professors in the Department, subject to a maximum of two and qualified to become the Research Supervisor(s).
- viii. External Expert at least one.

Note: Where the total faculty strength of permanent teachers in the Department is less than ten, all permanent teachers, who are eligible to become the supervisor, will be members of the Doctoral Research Committee.

- a) The term of Doctoral Research Committee shall be for Two years from the date of its formation except the ex-officio members.
- **b)** At least, 50% of the members, shall be required to be present in the meeting to form the quorum of the DRC meeting, with the presence of the Chairman or his/her representative alongwith the External Member, which is mandatory.

- c) The Doctoral Research Committee shall examine the suitability of the research topic for pursuing the Ph.D. Programme.
- **d)** The Committee shall thoroughly explore and review the nature and scope of the research work before giving its approval.

11. RESEARCH ADVISORY COMMITTEE (RAC) AND RESEARCH PROGRESS MONITORING

- a) There shall be a Research Advisory Committee (RAC) to monitor the progress of the concerned Ph.D. scholar. The composition of the Research Advisory Committee shall be as follows:
 - i. Research Supervisor

Convener

ii. Two members nominated by Vice-Chancellor

Note: In case the research work is also being guided by a Co-supervisor, he/she shall also be a member of the Research Advisory Committee (RAC).

- b) The Research Advisory Committee (RAC), shall have the following responsibilities:
 - i. To guide the research scholar to develop the study design and methodology of research and identify the course(s) that he/she may have to do.
 - **ii.** To periodically review and assist in the progress of the research work of the research scholar.
- c) A research scholar shall appear before the Research Advisory Committee on the date as notified by the RAC, once in six months to make a presentation of the progress of his/her research work related to the topic approved for evaluation and further guidance. The quarterly progress reports shall be submitted by the Research Advisory Committee before the Doctoral Research Committee, for its approval.
- d) In case the progress of the research scholar is unsatisfactory, the Research Advisory Committee shall record the reasons for the same and suggest corrective measures. If the research scholar fails to implement these corrective measures, the Research Advisory Committee may recommend specific reasons for cancellation of the registration of the Research Scholar.

12. RESEARCH SUPERVISOR

a) Permanent faculty members working in the University as Professor/Associate Professor with a Ph.D., and at least five research publications in peer-reviewed or refereed journals and permanent faculty members working in the University as Assistant Professors with a Ph.D., and at least three research publications in peer-

- reviewed or refereed journals may be recognized/allotted as a Research Supervisor by the Doctoral Research Committee. Such recognized/allotted research supervisors cannot supervise research scholars in other institutions, where he/she can only act as co-supervisor.
- **b)** Any Ph.D. degree awarded by the University under the supervision of a faculty member, who is not a permanent faculty member of the University, would violate this Ordinance and shall be deemed null and void for all purposes.
- c) The University shall allocate the supervisor/co-supervisor from amongst the permanent faculty members as per the faculty member's specialization among the faculty supervisors and the Research Interest of the Scholar.
- d) In cases, where for valid academic reasons, it is felt that the guidance of an additional Research Supervisor is desirable, the Co-Supervisor may be appointed for joint supervision by the Post Graduate Board of Studies (PGBOS) provided that the research student makes such a request, which shall be duly recommended by the Research Supervisor and approved by the Doctoral Research Committee.
- e) On the request of the Research Scholar, a co-supervisor may also be appointed in inter-disciplinary areas from within the University or from any other Central/State University/Related Institution, by the Post Graduate Board of Studies (PGBOS)on the approval of the recommendations of the Doctoral Research Committee and Research Supervisor of the Research Student.
- f) The maximum number of research students that can be associated with a Research Supervisor, shall be strictly as per the *University Grants Commission (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulations, 2022*, notified on 7th November 2022 and any further amendment in these Regulations or any other Notification, from time to time, issued by the UGC in this regard.
- g) In case, a teacher is retiring or leaving the University for any reason and where redistribution/reallocation of the Ph.D. students is required for the abovementioned or any other reason, the Ph. D. students shall be adjusted among the eligible existing teachers of the department by relaxing the maximum number of Ph.D. supervision norms by Doctoral Research Committee.
- h) Permanent Faculty members with less than three years of service before superannuation shall not be allowed to take new research scholars under his/her supervision. However, such faculty member can continue to supervise Ph.D. scholars

- who are already registered until superannuation and can act as a co-supervisor after superannuation.
- i) The Doctoral Research Committee may consider the request (with reason) of the candidate for change of the Research Supervisor. The candidate shall pay the prescribed fee for the change of the Research Supervisor. However, the request should be made at least One year before the date of submission of the thesis. This condition shall not be applicable in the event of the death of the Supervisor or due to any other incapacity of the Supervisor to continue supervision or health grounds or shift to a distant place.
- j) The application of the Research Scholar regarding change of Supervisor may be considered by the Doctoral Research Committee, and the same may be accepted or rejected.

13. DEPARTMENTAL PROGRESS SEMINARS DURING Ph.D. DEGREE

- a) Every research scholar shall be required to show continuous progress during the period of his/her registration and it shall be monitored by the Research Supervisor of the Research Scholar.
- **b)** Every research scholar shall be required to submit a half-yearly progress report before the Research Advisory Committee (RAC).
- c) The research scholar shall present yearly departmental progress seminars before the Doctoral Research Committee (DRC) which may be held every one-year gap, from the date of the registration of the Research Scholar. The yearly departmental seminars shall be open seminars for all the faculty members, research scholars and students of the University. Feedback/Suggestions/Comments may be given by the audience of the seminars, which will be suitably incorporated by the Research Scholar in his research work in consultation with his/her research supervisor.
- d) Before the submission of the thesis, the research scholar shall submit a written application duly recommended by the research supervisor, to the Head of the Department for conducting the pre-thesis submission seminar. The Notice of Pre-thesis Submission Seminar shall be issued by the Dean Academic Affairs / Head of the Department with approval to the Vice-Chancellor. The Pre-thesis Submission Seminar, shall be an open seminar for the RAC member, faculty members, research scholars and students of the University. Feedback/Suggestions/Comments may be given by the audience in this seminar, which will be suitably incorporated by the

- Research Scholar in his research work in consultation with his/her research supervisor.
- e) UGC Regulations applicable at the time of Pre-Submission Seminar, shall be applicable for the purpose of submission of Ph.D. thesis and award of Ph.D. degree.

14.PUBLICATION OF RESEARCH PAPERS AND PRESENTATION DURING Ph.D. DEGREE

- a) The Research Scholar shall be required to submit his/ her thesis only after he/ she has published at least three research paper/article out of which one should be in a peerreviewed journal or Journal/s listed in the (UGC) CARE list of journals etc. or Scopus/Web of Science/SCI indexed journals, on the theme relevant to his/her Research Work.
- b) The Ph.D. scholar must also make two paper presentations at National/ International conferences/seminars conducted by any University/ Research Institution/organization etc. before the submission of the thesis, and to produce certificate/ evidence in the form of a presentation certificate.
- c) Full published research paper (s) as per the requirement mentioned under clause (a) and evidence/certificate of paper presentation mentioned under clause (b), shall be appended in the final thesis, submitted by the research scholar on completion of his/her research in the University.

15. SUBMISSION OF THE THESIS

- a) On successful presentation of the Pre-Thesis Submission Seminar, the Research Scholar shall be required to submit the Ph.D. thesis within three (03) months from the date of the Pre-Thesis Submission Seminar. If the research scholar fails to submit his/her Ph.D. thesis in the stipulated period, he/she shall be required to repeat the Pre-Thesis Submission Seminar along with the payment of the prescribed fee in this regard by the University.
- b) The Ph.D. scholar shall submit a copy of the thesis in the form of a CD/Pen drive/official email to the library for plagiarism check and he/she shall be eligible for submission on the production of satisfactory report of the plagiarism check issued by the University Librarian. The originality Report shall be countersigned by the Supervisor. The satisfactory plagiarism report shall also be appended in the final thesis, submitted by the research scholar in the University.

- c) The Plagiarism Rules/Policy regarding submission of Ph.D. thesis, shall be applicable as prescribed by the UGC from time to time.
- **d)** Plagiarism detection software shall be available in the University library, which shall be approved by the UGC/Govt./University.
- e) A candidate shall submit his/her thesis to the o/o Controller of Examinations of the University, for the evaluation of his/her Ph.D. thesis, in the manner prescribed, which is as follows:
 - i. The research scholar shall submit four printed/typed soft-bound copies of his/her final thesis along with copies of the abstract and the summary, mentioning the name of the candidate, supervisor, etc., along with two soft copies of the thesis on separate CDs and/or Pen drives.
 - ii. The typing/printing of the thesis should be done on both sides of the paper (instead of the single-side printing), on A-4 size paper, in font size 12" in Times New Roman font with line-spacing 1.5. The Research scholar shall adhere to the latest footnoting style/manual prescribed by the latest edition of the Blue BooK.
 - **iii.** The cover page/binding of the Ph.D. thesis would be in Maroon Colour or any other colour as prescribed by the Department.
 - **iv.** The thesis shall be accompanied by a declaration from the candidate countersigned by the Research Supervisor that:
 - i. Declaration: This is to certify that the material embodied in the present work, entitled "_______", is based on my original research work and that there is no plagiarism. It has not been submitted, in part or full, for any other diploma or degree of any University/Institution Deemed to be University and College/Institution of National Importance. References from other works have been duly cited at the relevant places.
 - (Signature of the Candidate with date) (Countersigned by Supervisor with date)
 - ii. A certificate from the Research Supervisor attesting to the originality of the thesis and that the thesis has not been submitted for the award of any other degree/diploma to any other Higher Educational Institution.
 - v. No-dues certificate from all the concerned Departments/Branches/ Sections of the University, shall be obtained by the research scholar before submission of

his/her thesis and the same shall be submitted alongwith the Ph.D. thesis, in the o/o Controller of Examination of the University.

16. PANEL OF EXAMINERS, EVALUATION OF THESIS AND VIVAVOCE

- a) The Ph.D. thesis submitted by a Research scholar shall be evaluated by his/her Research Supervisor and at least three External Examiners nominated by the Vice-Chancellor, who are experts in the field of Law and not in employment of the University and as far as possible one external member may be from outside India.
- b) On the recommendation of the Supervisor, the DRC shall forward a panel of ten External Examiners (at least five of the Examiners in the panel, shall be from outside the State) from the concerned area of Research, to the Controller of Examinations, in a sealed envelope or the prescribed proforma by the University. Further, the Vice-Chancellor, while nominating the External Examiner from the recommended panel, shall have the discretion to add and/or delete any name/s of any External Examiner/outside the panel.
- c) The External Examiners shall submit their evaluation report (s) in the prescribed form as soon as possible but not later than two months from the date of receiving the thesis for evaluation. The Controller of Examination shall pursue for complete the work of evaluation of the Ph.D. thesis in the prescribed period.
- **d)** Each Examiner, after evaluating the thesis, shall submit a report to the Controller of Examination (COE) containing a clear recommendation whether in his/her opinion:
 - The thesis submitted as such is acceptable and the viva-voce examination of the candidate may be held; or
 - The thesis should be referred back to the candidate for revision and resubmission; or
 - The thesis is rejected.
- e) The report (s) of the External Examiners shall be opened by the Controller of Examination, who shall prepare a note of the reports specifically stating whether the Examiners have recommended the award of the degree or not. The said note shall be submitted to the Vice-Chancellor for further necessary action.
- f) The viva-voce of the Ph.D. scholar to defend the thesis, shall only be conducted if both the External Examiners recommend acceptance of the thesis after incorporating any corrections suggested by them. If one of the external examiners recommends

rejection, the Controller of Examination shall after the approval from the Vice Chancellor for the appointment of an alternative third External Examiner from the approved panel of examiners, shall send the thesis to that third External Examiner for evaluation and the viva-voce examination shall be held only if the alternate examiner recommends acceptance of the thesis. If the alternate examiner does not recommend acceptance of the thesis, the thesis shall be rejected, and the Ph.D. scholar shall be declared ineligible for the award of a Ph.D. degree.

- g) Viva-Voce: On satisfactory evaluation reports of the Ph.D. thesis, the research scholar shall undergo a viva voce, which shall be openly defended by the candidate. The Board of Examiners for viva-voce shall consist of:
 - i. One of the External Examiners who has evaluated the thesis, is to be nominated the Vice-Chancellor
 - ii. Dean of Academic Affairs
 - iii. Head of the Department
 - iv. Research Supervisor/co-supervisor of the candidate (In case the Supervisor is unable to be present within a reasonable time to participate in the viva voce, due to any reason, the Vice-Chancellor may recommend another faculty member in his/her place.)
 - v. In case the candidate is the student of Dean Academic Affairs or Head of the Department, the Vice-Chancellor may appoint an expert.

Note: Any two members including the External Examiner, shall form the quorum for viva voce.

- h) The viva-voce shall be conducted at the Department and it shall be an open viva voce. The viva-voce shall be open to the members of the Research Advisory Committee/faculty members/research scholars, and students. However, in the open viva voce, the External Examiner shall put questions to the candidate based on his/her research work including the questions, if any, sent by the other evaluator of the thesis.
- i) The Viva Voce Board may, based on the unanimous opinion of its members, recommend:
 - i. That the candidate be awarded the Ph.D. degree;
 - ii. That the thesis is suitable/fit for publication in its present form;
 - iii. That the thesis be referred back to the candidate for revision;

- iv. That the thesis be rejected and the candidate may not be awarded the Ph.D. Degree.
- j) The entire process of evaluating a Ph. D. thesis, including the declaration of the vivavoce result, will be done within six (6) months from the date of submission of the thesis.

17. REJECTION OF THE THESIS

- a) Where the thesis fails to obtain approval of the majority of the examiners or where after viva-voce examination, the Board of Examiners does not recommend the award of a Ph.D., Degree, the University may decide:
 - a. That the thesis be rejected; or
 - b. The thesis is to be re-submitted in a revised form within one year but not before six months from the date of communication in this regard.
- **b)** A candidate whose thesis has been referred back by the Viva-Voce Board for revision shall be permitted to re-submit it for the award of the degree not later than one year from the date of intimation of the decision of the University to him/her.
- c) In exceptional cases, the Academic Council may, on the recommendations of the Board of Studies, extend the period by a further six months.
- **d)** A thesis that has been re-submitted shall be examined by the examiner appointed by the Vice Chancellor
 - on the recommendation of the Post Graduate Board of Studies.
- e) No candidate shall be permitted to re-submit his/her thesis for the award of the Ph.D. degree more than once.

18. AWARD OF DEGREE

- a) In case the Viva-Voce Board/Examiner recommends that the scholar be awarded the Ph.D. degree, the recommendation/ report shall be submitted before the Vice-Chancellor by COE.
- **b)** The Controller of Examination after taking due approval from the Vice Chancellor shall notify the award of the Ph.D. degree. The date of award of Ph.D. Degree shall be the date of Notification of Ph.D. degree.
- c) Along with the Degree, the University shall issue a certificate stating that the degree has been awarded as per the UGC (Minimum Standards and Procedure for Awards of Ph.D. Degree) Regulation, 2022, or any other prevalent at the relevant time of Notification.

- d) The Ph.D. The degree shall be awarded at the convocation of the University.
- e) Before the actual award of the degree in convocation, the University may issue a provisional certificate, on the request of the scholar and payment of the prescribed fee, to the effect that the degree has been awarded in accordance with the provisions of the latest UGC Regulations prevalent at the time of Notification.

19. DEPOSITORY WITH INFLIBNET

- a) Following the successful completion of the evaluation process and before the announcement of the award of the Ph.D. degree(s), the University shall submit an electronic copy of the Ph.D. Thesis to the INFLIBNET for hosting same to make it accessible to all the Higher Educational Institutions and research institutions.
- **b)** The UGC Ph.D. regulations as issued from time to time regarding the depository of the Thesis shall be followed.
- c) Examination Section after the evaluation of the Thesis shall send a CD of the Thesis in PDF format to the University Library.

20. PUBLICATION OF THESIS

- a) No Thesis shall be published without the prior permission of the University.
- **b)** The Research Scholar may apply to the university for permission to publish his/her thesis within three years from the date of award of the Ph.D. Degree.
- c) After due permission from the Vice-Chancellor on the recommendation of the Research Supervisor, the thesis may be published.
- d) If the examiners have recommended that the thesis is suitable/fit for publication, it may be published in book form by the research scholar. If there is a difference of opinion between the examiners regarding the publication of the thesis, the case shall be referred to the Committee to be constituted by the Vice-Chancellor which may include supervisor consisting of the following for consideration and recommendations:
 - i. Dean of the School- Chairman,
 - ii. Head of the Department concerned Member, and
 - iii. Research Supervisor of the Scholar Member.

21. CANCELLATION OF REGISTRATION AND RE-REGISTRATION

- a) The registration of the candidate may be canceled in any of the following circumstances, with the approval of the Vice-Chancellor, if the candidate:
 - i. Fails to submit three consecutive Progress Reports or research progress is found unsatisfactory by the Research Advisory Committee. In case the

progress of the research scholar is unsatisfactory, the Research Advisory Committee shall record the reasons for the same and suggest corrective measures. If the research scholar fails to implement these corrective measures, the Research Advisory Committee may recommend specific reasons for the cancellation of the registration of the research scholar; or

- ii. Fails to submit the thesis within the maximum period specified for submission of Ph.D. thesis as prescribed in these rules; or
- iii. Resigns from the Ph.D. Degree Programme and his/her cancellation recommended by the Research Advisory Committee; or
- **iv.** Research scholar is found to be involved in any act of indiscipline or of misconduct, whatsoever.
- b) Re-registration- The Post Graduate Board of Studies, on the recommendation of the Doctoral Research Committee, may, however, accept the request of a scholar whose name has been removed from the rolls of the University and further recommend to the Vice Chancellor for re-admission of the scholar. After due approval of the Vice Chancellor, the scholar shall become eligible for submission of his/her thesis, subject to a maximum allowable limit (8 years or 10 years as the case may be). The reregistration shall be considered on the following basis:
 - **i.** In case of cancelled registration, re-registration may be allowed subject to the availability of the Research Supervisor.
 - **ii.** Re-registration can be requested by submitting an application to the Department for consideration by the Doctoral Research Committee.
 - **iii.** The re-registered candidate will submit a thesis under the prevailing rules of the Ph.D. in the University.
 - iv. In case, re-registration is permitted,
 - **a.** The Doctoral Research Committee will examine the appropriateness of the existing topic for research work and may recommend changes in case of outdated/non-relevant research proposals.
 - **b.** After completing all the formalities of re-registration, the Doctoral Research Committee will allot the guide and regulate all other requirements, etc.
 - **c.** Re-registered students shall pay a fee as prescribed and others/ annual fees as per prevailing university rules.

22. RESIDUARY POWERS

- a) The Degree of Doctor of Philosophy (Ph.D.) may be awarded in the university subject to the general guidance of the Academic Council.
- b) Notwithstanding anything contained in the foregoing rules/ regulations, the candidates shall be governed by the regulations and procedures framed/amended by the

University from time to time. The Vice-Chancellor shall have the power to make appropriate decisions in case of any ambiguity or difficulty in the interpretation of these rules.

23. FEE STRUCTURE

Table-4
Fee Structure of the Ph.D. Programme in Law

Fee Structure of the Ph.D. Programme in Law					
Sr. No.	Heads	Amount in Indian Rupees			
1.	Application Fee (one time) non- refundable	Rs. 2000/-			
2.	Enrolment Fee (one time)	Rs. 5000/-			
3.	Registration Fee (one time)	Rs. 10,000/-			
4.	Annual Fee (yearly) (Excluding hostel and other fee)	Rs. 60,000/-			
5.	Library & Computer Lab Fee (yearly)	Rs. 3000/-			
6.	Thesis Submission Fee (one time)	Rs. 15,000/-			
7.	Late submission of half-yearly progress report fine	Rs. 2000/-			
8.	Extension of Time for Submission of Thesis (Ref: After Pre-submission seminar)	Rs. 5000/-			
9.	Re-enrolment Fee	Rs. 10,000/-			
10.	Re-registration Fee	Rs. 20,000/-			
11.	Extension Fee	Rs. 20,000/-			
12.	Re-submission of thesis Fee	Rs. 5,000/-			
13.	Provisional Certificate Fee	Rs. 1,000/-			
14.	Change of Area of Research Fee	Rs. 10,000/-			
15.	Change of Supervisor fee (Non-refundable) (*Fee is applicable when the change of supervisor is on request of the Ph.D. scholar. Subject to the approval of the Doctoral Committee. In any other eventuality no fee will be charged)	Rs. 20,000/-			

16.	Refundable Security (one time payable)	Rs. 15000/-
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Note:

- i. All the above fees will be as determined and notified by the University from time to time. If any fee is not prescribed in the table and is required to be deposited by the Research Scholar, for any aspect, the same shall be prescribed by the Vice-Chancellor on the recommendation of the Controller of Examination of the University.
- **ii.** No fees/ dues deposited by the Research Scholar shall be refunded by the University in any case. Even if the Research Scholar leaves the Ph.D. course at his/her level, nothing is refundable.
